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By: *Lisa McDill* Printed: Lisa McDill

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ALZA Corporation

Inventor(s): Dohner et al.

Application No.: 10/658,247

Filed: 09/08/2003

Title: **IMPROVED METHOD FOR PREVENTING  
CRYSTAL FORMATION IN A DISPERSION OF  
A LIQUID IN A MATRIX**

Group Art Unit:  
1615

Examiner:  
Sharon L. HOWARD

Attorney Docket No.:  
**ARC 2363 N3**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

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This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☒ Please charge Deposit Account No. 10-0750 the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a) (2).


☒ There is one reference that is not in the English language. Attached to the reference is an English translation of the Abstract of the invention.

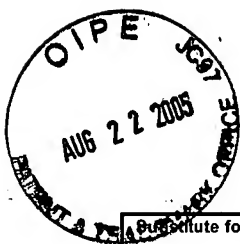
☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750.

Respectfully submitted,

August 18, 2005  
Date

  
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Philip S. Yip  
Reg. No. 37,265  
Attorney for Applicant  
**CUSTOMER NO. 2777**



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

<i>Application Number</i>	10/658,247
<i>Filing Date</i>	09/08/2003
<i>First Named Inventor</i>	Dohner et al.
<i>Group Art Unit</i>	1615
<i>Examiner Name</i>	Sharon L. HOWARD
<i>Attorney Docket Number</i>	ARC 2363 N3

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	3,598,122		ZAFFARONI	08/10/1971	
	2	3,598,123		ZAFFARONI	08/10/1971	
	3	3,797,494		ZAFFARONI	03/19/1974	
	4	4,031,894		URQUHART et al.	06/28/1977	
	5	4,060,084		CHANDRASEKARAN et al.	11/29/1977	
	6	4,201,211		CHANDRASEKARAN et al.	05/06/1980	
	7	4,144,317		HIGUCHI et al.	03/13/1979	
	8	4,262,003		URQUHART et al.	04/14/1981	
	9	4,308,621		MENDELSON	12/29/1981	
	10	4,379,454		CAMPBELL et al.	04/12/1983	
	11	4,436,741		URQUHART et al.	03/13/1984	
	12	4,832,953		CAMPBELL et al.	05/23/1989	
	13	5,370,924		KOCHINKE	12/06/1994	
	14	5,662,928		BRAUN	09/02/1997	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
	15	EP	0 304 227	B1	CAMPBELL et al.	03/03/1993		
	16	DE	42 23 360			04/08/1993		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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			Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>		

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner's Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		<b>COPY OF INTERNATIONAL SEARCH REPORT FOR CORRESPONDING PCT APPLICATION NO. PCT/US96/18397</b>	

Examiner Signature		Date Considered	
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